



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/648,780	08/28/2000	Saturo Bushida	80101

Welsh & Katz Ltd  
120 South Riverside Plaza  
22nd Floor  
Chicago, IL 60606-3903

## FORMALITIES LETTER



\*OC00000005489369\*

Date Mailed: 10/20/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/19/2001 TV0111 00000009 230920 09648780

FILED UNDER 37 CFR 1.53(b)

01 FC:109 130.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

- The balance due by applicant is \$ 820.

01/02/2001 JAD001 00000021 09648780

01 FC:101 710.00 OP  
02 FC:105 130.00 OP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Date: December 20, 2000

File No: 80101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application

Applicant: BUSHIDA et al.

Serial No.: 09/648,780

Filed: August 28, 2000

For: OPTICAL COMPONENT DRIVING  
DEVICE IN LASER APPARATUS

) I hereby certify that this correspondence is  
) being deposited with the United Postal  
) Service as first class mail in an envelope  
) addressed to: Box MISSING PARTS /  
) Assistant Commissioner for Patents /  
) Washington, DC 20231, on  
) December 20, 2000

) Gerald T. Shekleton  
) Gerald T. Shekleton Reg. No. 27,466 Date

COMPLETION OF FILING REQUIREMENTS

Box MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Transmitted herewith in response to the Notice to File Missing Parts of  
Application -- Filing Date Granted dated October 20, 2000, are:

- (X) Declaration or Oath for this application.
- (X) Filing fee for this application.
- (X) Assignment(s) of the invention to Komatsu Ltd.
- ( ) Verified Statement Claiming Small Entity Status--.
- ( ) Petition for Extension of Time.
- (X) Other: English Translation
- (X) A Check in the amount of \$880.00 to cover the filing fee, surcharge and assignment recordal fee.
- ( ) Charge \$\_\_\_\_\_ to Deposit Account No. 23-0920.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17. or credit any overpayment, to Deposit Account No. 23-0920. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.

120 South Riverside Plaza  
22nd Floor  
Chicago, Illinois 60606-3913  
Telephone: (312) 655-1500

WELSH & KATZ, LTD.

By Gerald T. Shekleton  
Gerald T. Shekleton